

# DS 102 Discussion 11

Wednesday, April 27, 2022

## 1. Comparing Approaches to Privacy Preserving Data Analysis

In this problem, we'll discuss the similarities and differences of two approaches to privacy-preserving data analysis: *k-anonymity* and  *$\epsilon$ -differential privacy*. A data set is said to be *k-anonymous* if every combination of values for demographic columns appears at least for  $k$  different records. <sup>1</sup>

ZIP code	age
4217	34
1742	77
1743	77
4217	34

ZIP code	age
4217	34
4217	34
1742	77
1742	77
4217	34

(a) Original Data

(b) 2-Anonymous Data

While *k-anonymity* can be achieved by deterministically modifying the data set directly,  $\epsilon$ -differential privacy involves randomized mechanisms that modify the data set or answer queries made about a data set randomly. For two *neighboring* databases  $S$  and  $S'$  which differ in only one entry, an  $\epsilon$ -differentially private algorithm  $\mathcal{A}$  satisfies:

$$\mathbb{P}(\mathcal{A}(S) = a) \leq e^\epsilon \cdot \mathbb{P}(\mathcal{A}(S') = a)^2$$

for all outcomes  $a$ . In words, the probability of seeing any given output of a differentially private algorithm doesn't change a lot by replacing only one entry in the input database.

### (a) Linkage Attacks

Suppose an attacker has access to an external data set containing demographic information. How can this data be used to re-identify individuals in the original data set? Would applying *k-anonymity* or  $\epsilon$ -differential privacy fix this issue?

<sup>1</sup>Source: Damien Desfontaines, <https://desfontain.es/privacy/index.html>

<sup>2</sup>Remark: The  $1 + \epsilon$  factor shown in lecture is a first-order Taylor series approximation of  $e^\epsilon$ .

(b) *Composition Attacks and k-Anonymity*

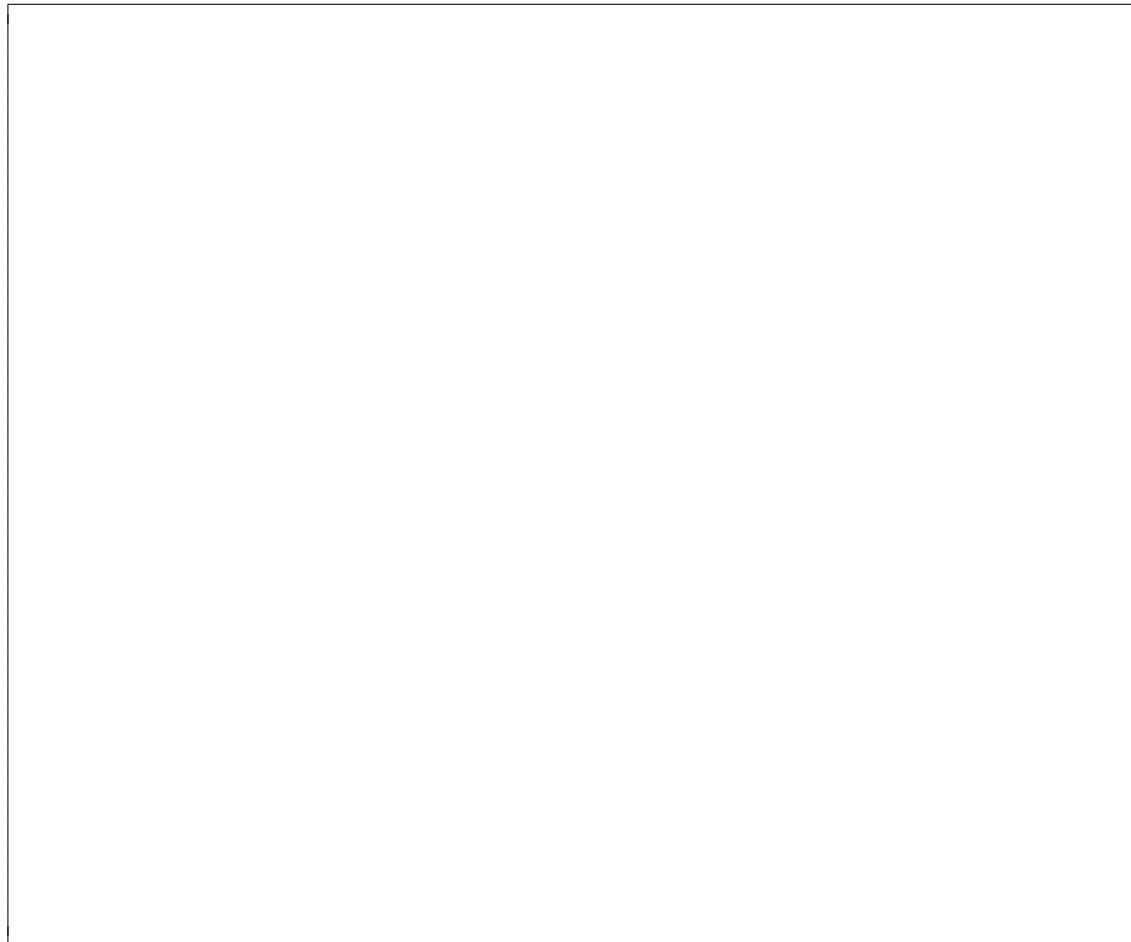
Suppose you are an attacker who wants to leak the medical condition of Alice, a 28 year-old living in zip-code 13012, from the k-anonymized public health records. You are given the results of two queries,  $q_1$  and  $q_2$ , in the tables below. Can you use the results of these two queries to leak Alice's health condition? <sup>3</sup>

	Non-Sensitive			Sensitive
	Zip code	Age	Nationality	Condition
1	130**	<30	*	AIDS
2	130**	<30	*	Heart Disease
3	130**	<30	*	Viral Infection
4	130**	<30	*	Viral Infection
5	130**	≥40	*	Cancer
6	130**	≥40	*	Heart Disease
7	130**	≥40	*	Viral Infection
8	130**	≥40	*	Viral Infection
9	130**	3*	*	Cancer
10	130**	3*	*	Cancer
11	130**	3*	*	Cancer
12	130**	3*	*	Cancer

(a)  $q_1$ : 4-Anonymous Data

	Non-Sensitive			Sensitive
	Zip code	Age	Nationality	Condition
1	130**	<35	*	AIDS
2	130**	<35	*	Tuberculosis
3	130**	<35	*	Flu
4	130**	<35	*	Tuberculosis
5	130**	<35	*	Cancer
6	130**	<35	*	Cancer
7	130**	≥35	*	Cancer
8	130**	≥35	*	Cancer
9	130**	≥35	*	Cancer
10	130**	≥35	*	Tuberculosis
11	130**	≥35	*	Viral Infection
12	130**	≥35	*	Viral Infection

(b)  $q_2$ : 6-Anonymous Data



<sup>3</sup>Source: Ganta et. al (2008), <https://arxiv.org/pdf/0803.0032.pdf>

(c) *Compositions of  $\epsilon$ -Differentially Private Algorithms*

Now, let  $Q_1$  and  $Q_2$  be two  $\epsilon$ -differentially private mechanisms. The mechanism  $Q_2$  is potentially chosen depending on the output of  $Q_1$  but is run with independent coins. Show that the composition  $Q$  (i.e. the mechanism on input  $x$ ),  $(Q_1(x), Q_2(x))$ , is  $2\epsilon$ -differentially private. <sup>4</sup>

*Hint 1:* If  $Q_1$  can take any value in  $\mathcal{R}_1$  and  $Q_2$  can take any value in  $\mathcal{R}_2$ , then what are the possible values that  $Q = (Q_1, Q_2)$  can take on?

*Hint 2:* Consider two neighboring databases  $S$  and  $S'$  and compute the likelihood ratio,  $\frac{\mathbb{P}[Q(S)]}{\mathbb{P}[Q(S')]}.$

(d) *Composition Attacks and  $\epsilon$ -Differential Privacy*

Based on what you proved in Part (c), explain why composition attacks won't work if we used  $\epsilon$ -Differential Privacy as our privacy framework.

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<sup>4</sup>Source: Dwork et. al (2014), <https://www.cis.upenn.edu/~aaroht/Papers/privacybook.pdf>

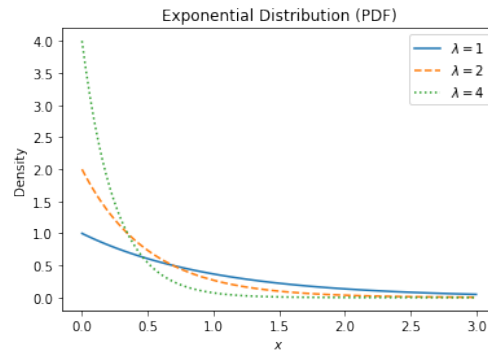
## 2. Exponential Mechanism for Differential Privacy

In this problem, we will learn about the Exponential mechanism, a commonly used mechanism for implementing differential Privacy. The idea is as follows: suppose that we want to report the result of a categorical query,  $f(\cdot)$ , which takes as input a database  $S$ . For example,  $S$  could be a database containing the favorite color for each resident of Berkeley, and  $f(S)$  could be the result of the question: “What is the most popular favorite color of Berkeley residents?” Let  $S$  and  $S'$  be neighboring databases containing entries in  $\mathcal{D}$ . The exponential mechanism is composed of three parts:

- A set of  $\mathcal{R}$  possible categories to pick a response from
- A score function  $u : \mathcal{D} \times \mathcal{R} \rightarrow \mathbb{R}$ , which is maximized when a category is more optimal with respect to the given query
- A global sensitivity:

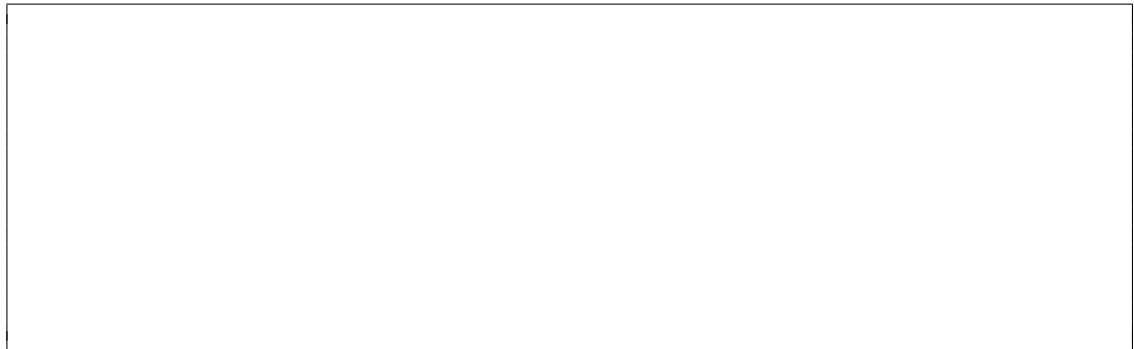
$$\Delta u = \max_{r \in \mathcal{R}} \max_{\text{neighboring } S, S'} |u(S, r) - u(S', r)|$$

Then, the Exponential mechanism,  $\mathcal{A}_{\text{Exp}}(S)$ , outputs any particular category  $r \in \mathcal{R}$  with probability proportional to  $\exp\left(\frac{\epsilon u(S, r)}{2\Delta u}\right)$ .



### (a) *Motivation for the Exponential Mechanism*

Instead of randomly sampling categories to answer a categorical query privately, could we report an answer to the query with the correct answer and add noise afterwards to preserve privacy? <sup>5</sup>



<sup>5</sup>Source: Programming Differential Privacy <https://programming-dp.com/notebooks/ch9.html>

(b) *Proving the Privacy of the Exponential Mechanism*

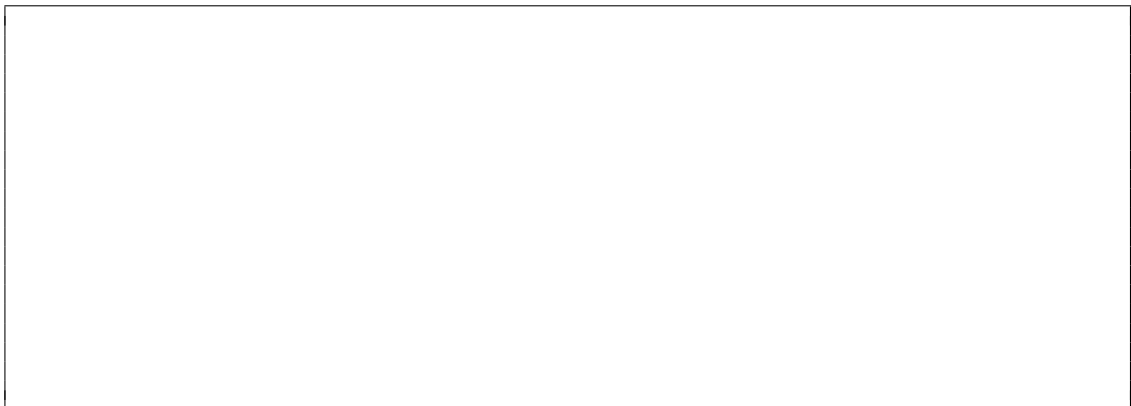
Prove that the Exponential mechanism is  $\epsilon$ -differentially private. More precisely, show that for all  $S'$  that are neighboring to our database  $S$ , we have:

$$\frac{\mathbb{P}(\mathcal{A}_{\text{Exp}}(S) = r)}{\mathbb{P}(\mathcal{A}_{\text{Exp}}(S') = r)} \leq e^\epsilon$$



(c) *Privacy vs. Accuracy*

Explain why the privacy guarantee shown in Part (b) alone is not enough. Give an example of an algorithm that is privacy preserving but not necessarily accurate.



(d) *Sensitivity vs. Accuracy*

The Exponential mechanism gives us the following accuracy guarantee:

$$\mathbb{P} \left[ u(S, \mathcal{A}_{\text{Exp}}(S)) \leq \text{OPT}(S) - \frac{2\Delta}{\epsilon} (\log(|\mathcal{R}|) + t) \right] \leq e^{-t}$$

where  $\text{OPT}(S) = \max_{r \in \mathcal{R}} u(S, r)$  is the score obtained by the best category. Interpret what this bound means in words. What can you conclude about the relationship between the sensitivity  $\Delta$  and accuracy for a fixed level of privacy  $\epsilon$ ?

